



University of Southern California  
Center for Systems and Software Engineering

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# Software Cost Estimation Metrics Manual for Defense Systems

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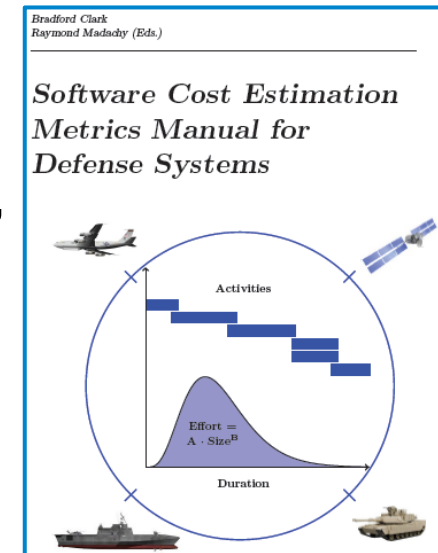
# Overview

- DoD Systems Engineering Research Center (SERC) research transition e-book:

B. Clark and R. Madachy (Eds.), *Software Cost Estimation Metrics Manual for Defense Systems*, Software Metrics Inc., Haymarket, VA, 2015.

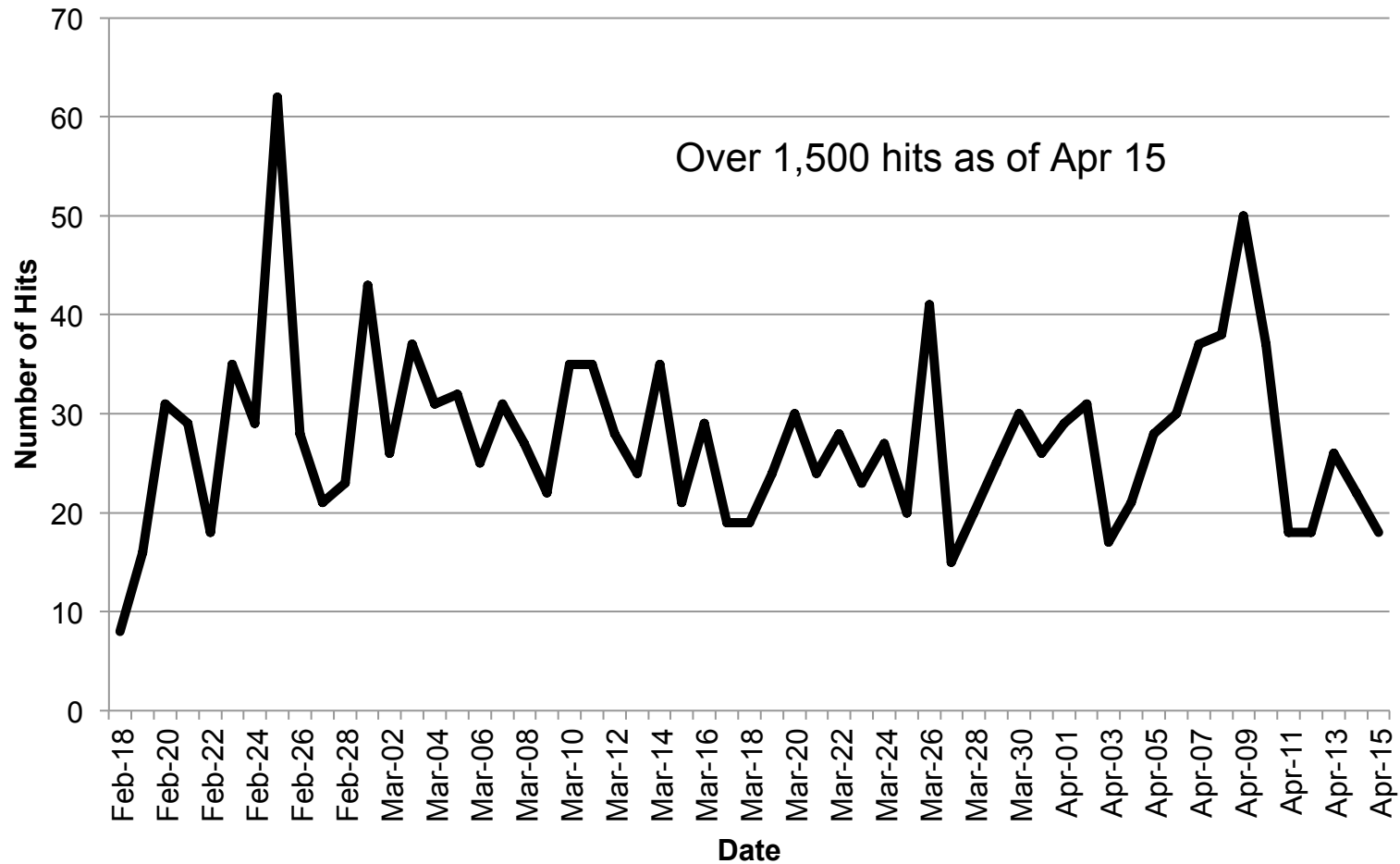
- Downloadable from

- Book site <http://softwarecost.org>
- DoD SERC  
<http://www.sercuarc.org/software-cost-estimation-metrics-manual-for-defense-systems1/>
- Other sites forthcoming





# SoftwareCost.org Traffic





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  - Quantitative Software Management
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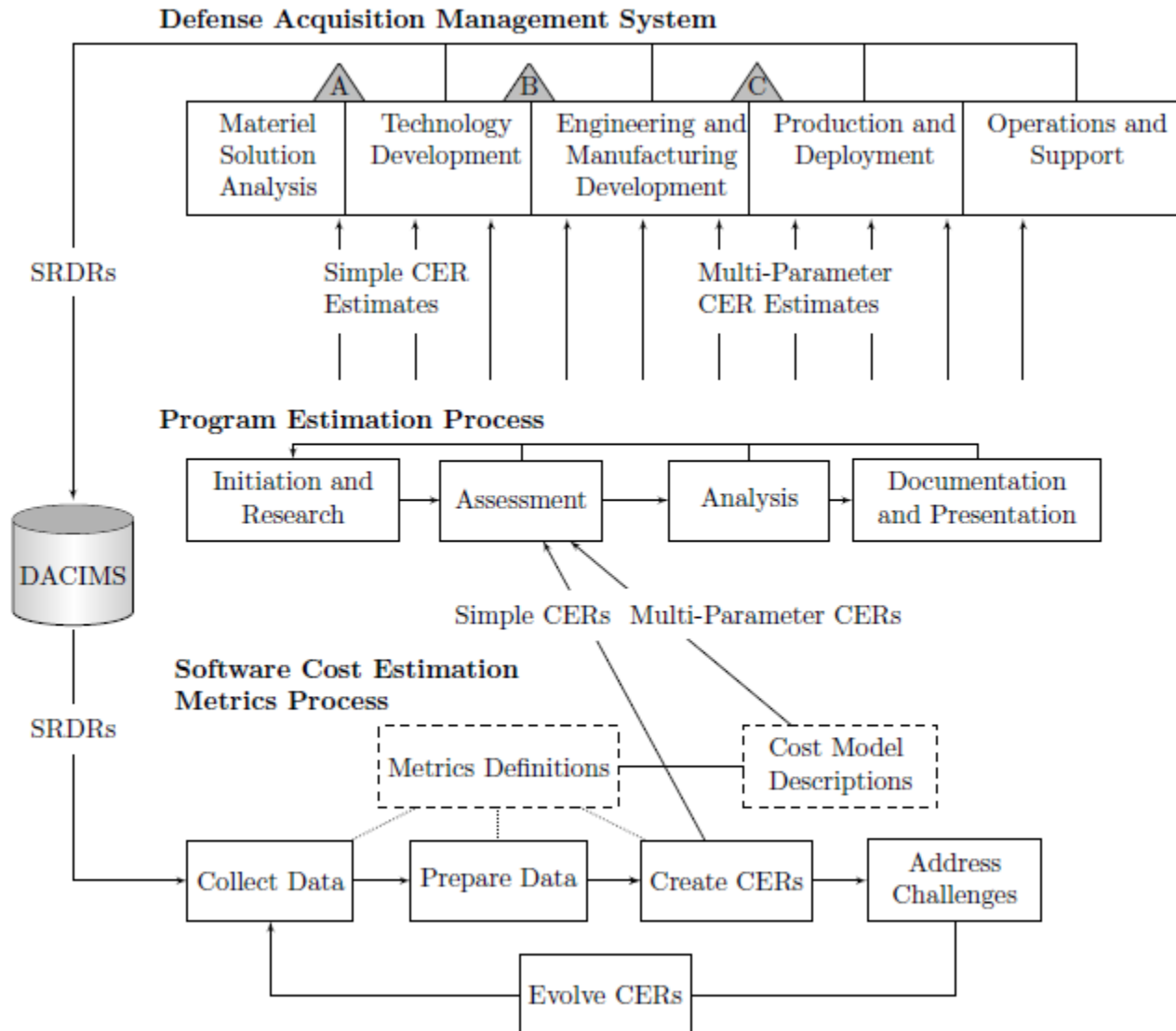
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# Purpose

- Assist analysts and decision makers early software cost estimates for different types of DoD systems and operating environments
- The intent is to improve quality and consistency of early software estimating through guidance, standardization, and knowledge sharing.
- We have analyzed DoD empirical software cost data and are transitioning the results back in this open access manual.
- We describe our processes for data normalization, analysis, derivation of Cost Estimating Relationships (CERs) and productivity benchmarking.

# Estimation and Metrics Processes





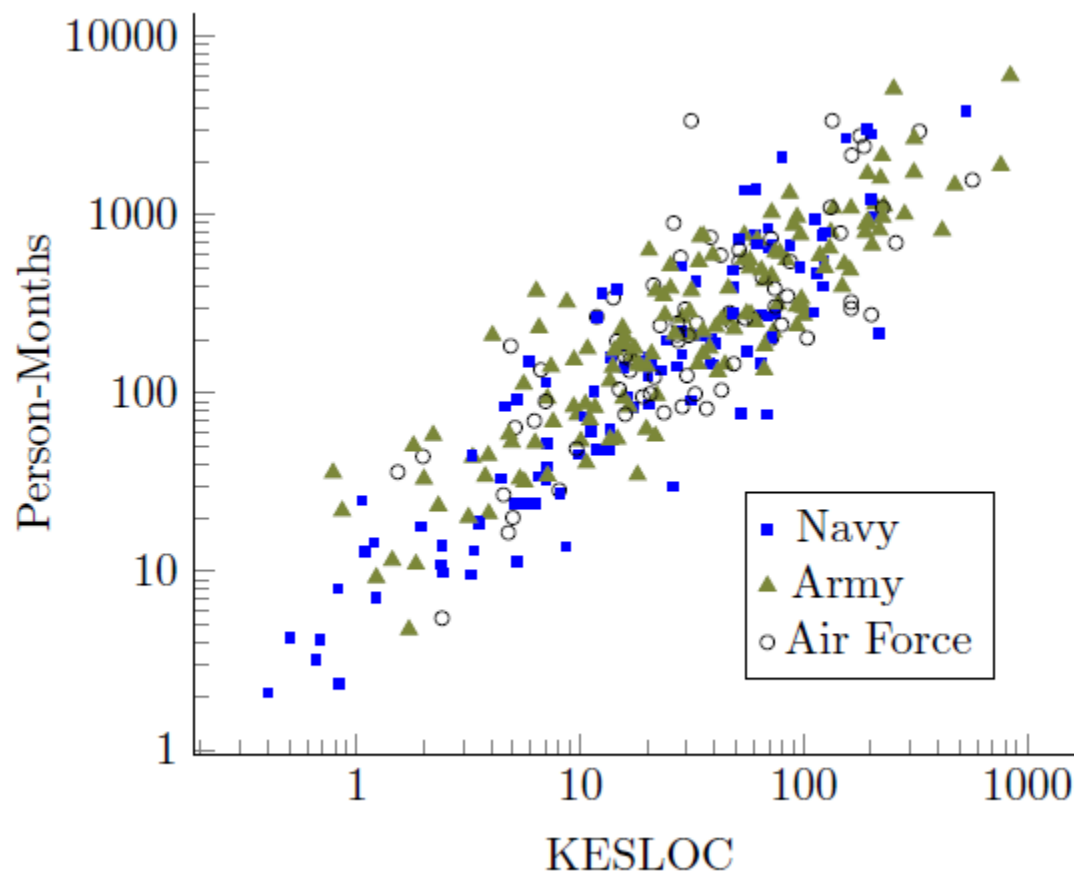


# Ch-2. Software Resources Data Report

- Overview
- Collecting Organization
- Repository
- Reporting Frequency
- SRDR Content
- Further SRDR Resources

# Dataset Summary

- Analysis based on 317 SRDRs reported from recent DoD projects during 2004-2013.
- Normalized Effort vs. Equivalent Size in SRDRs:





## Ch-3. Metrics Definitions

- Overview
- Product Size Measures
- SLOC Count Definitions
- ESLOC Summary
- Functional Size Measures
- Development Effort
- Development Duration

# Metrics Definitions Examples

- Software Size Types

Size Type	Description
New	New software created for the first time.
Adapted	Pre-existing software that is used as-is (Reused) or changed (Modified).
Reused	Pre-existing software that is not changed with the adaption parameter settings: <ul style="list-style-type: none"> <li>• Design Modification % (DM) = 0%</li> <li>• Code Modification % (CM) = 0%.</li> </ul>
Modified	Pre-existing software that is modified for use by making design, code and / or test changes: <ul style="list-style-type: none"> <li>• Code Modification % (CM) &gt; 0%.</li> </ul>

- SLOC Count Definitions

Statement Type	Logical		NCSS		Physical		Total	
	In	Out	In	Out	In	Out	In	Out
Executable	✓		✓		✓		✓	

### Nonexecutable

Declarations	✓		✓		✓		✓	
Compiler directives	✓		✓		✓		✓	
Comments		✓		✓	✓		✓	
Blank lines		✓		✓		✓	✓	



## Ch-4. Data Assessment

- Gather Collected Data
- Inspect each Data Record
- Determine Data Quality Levels
- Correct Missing or Questionable Data
- Normalize Size and Effort Data
- Convert Raw SLOC into Equivalent SLOC

# Data Assessment Examples

- Data Quality Rating Scale

Attribute	Value	Condition
Size:	1	if size data present
	0	if no size data
Size Count Type	1	if size is Logical SLOC
	0.7	if size is Non-Commented Source Statements
	0.5	if size is Physical Lines (Comment and Source Statements)
	0.4	if size is Total Lines (all lines in file: blank, comment, source)
	0	if no size data
ESLOC Factors: (See Section 3.2.2.2)	1	if modification factors are provided for Auto-gen, Modified & Reuse code counts from out-

- Software reuse proxy values for DM, CM and IM

Code Type	#	DM		CM		IM		AAF
		Range	Mdn.	Range	Mdn.	Range	Mdn.	
New		N/A		N/A		N/A		1.0
Modified	101	0-100%	31%	1-100%	44%	3-100%	72%	0.47
Reused	145	0%		0%		0-100%	10%	0.03
Auto-Gen	6	0%		0%		0-100%	50%	0.15

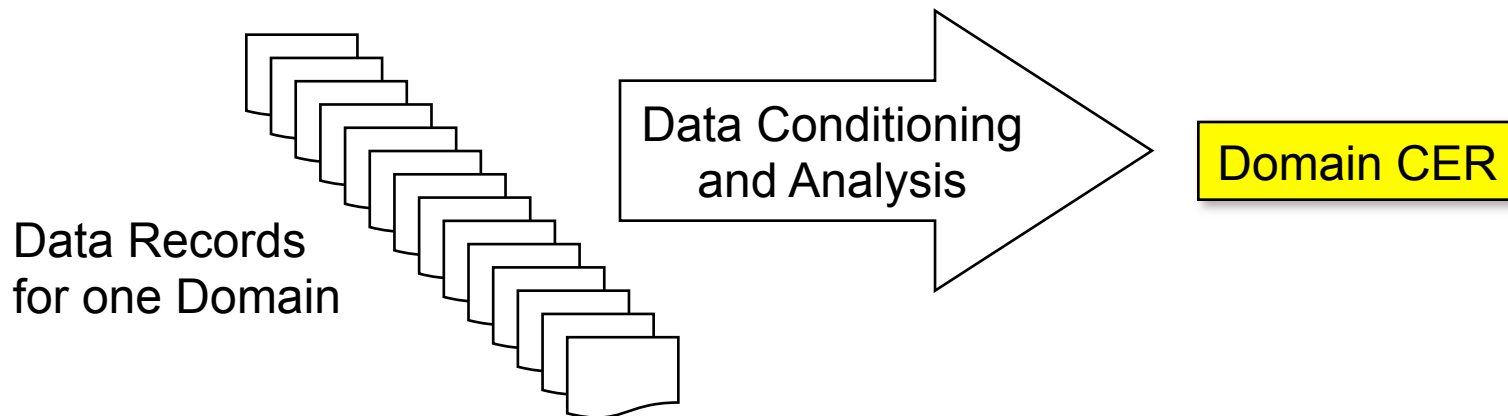


# Ch-5. Cost Estimating Relationships

- Overview
- Data Segmentation
- Estimating Relationships
- SRDR-Derived CERs
- SRDR-Derived Benchmarks
- Limitations and Future Work

# Data Analysis Objectives

- Make collected data useful to oversight and management entities
  - Provide guidance on how to condition data to address challenges
  - Segment data into different Application Types and Operating Environments
  - Analyze data for simple Cost Estimating Relationships (CER) within each domain
  - Develop rules-of-thumb for missing data





# Operating Environment

- Represents the platform that the software operates in.
- Complexity of a software also driven by environment
- It is important to determine the appropriate environment before analyzing your software project:

Environment	Type
Ground Site (GS)	Fixed (GSF) Mobile (GSM)
Ground Vehicle (GV)	Manned (GVM) Unmanned (GVU)
Maritime Vessel (MV)	Manned (MVM) Unmanned (MVU)
Aerial Vehicle (AV)	Manned (AVM) Unmanned (AVU)
Space Vehicle (SV)	Manned (SVM) Unmanned (SVU)
Ordnance Vehicle (OV)	Unmanned (OVU)



# Application Type

- Complexity is influenced by its Application Type
- Application Types are groups of application domains that are environment independent, technology driven and characterized by:
  1. Required software reliability
  2. Data processing requirements
  3. Product complexity
  4. Integration complexity
  5. Real-time operating requirements
  6. Platform volatility
  7. Target system volatility
  8. Special display requirements
  9. Development re-hosting
  10. Quality assurance requirements
  11. Security requirements
  12. Assurance requirements
  13. Required testing level
- Determining the appropriate productivity type is critical as it allows you to select the most appropriate model and benchmark



# Application Types

1. Sensor Control and Signal Processing (SCP)
2. Vehicle Control (VC)
3. Vehicle Payload (VP)
4. Real Time Embedded (RTE)
5. Mission Processing (MP)
6. Process Control (PC)
7. System Software (SYS)
8. Planning Software (PLN)
9. Scientific Software (SCI)
10. Training Software (TRN)
11. Telecommunications (TEL)
12. Software Tools (TOOL)
13. Test Software (TST)
14. Intelligence & Information Software (IIS)

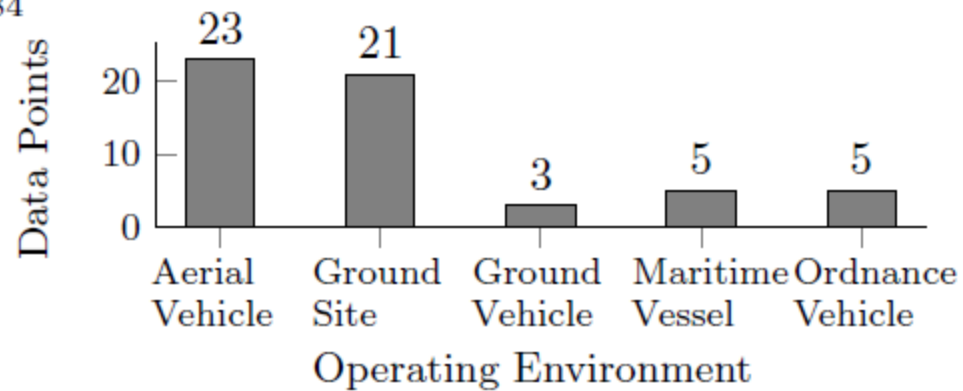
# Example CER: Real Time Embedded (1/2)

$$PM = 13.20 \cdot KESLOC^{0.84}$$

Data Points = 57

KESLOC Min = 2

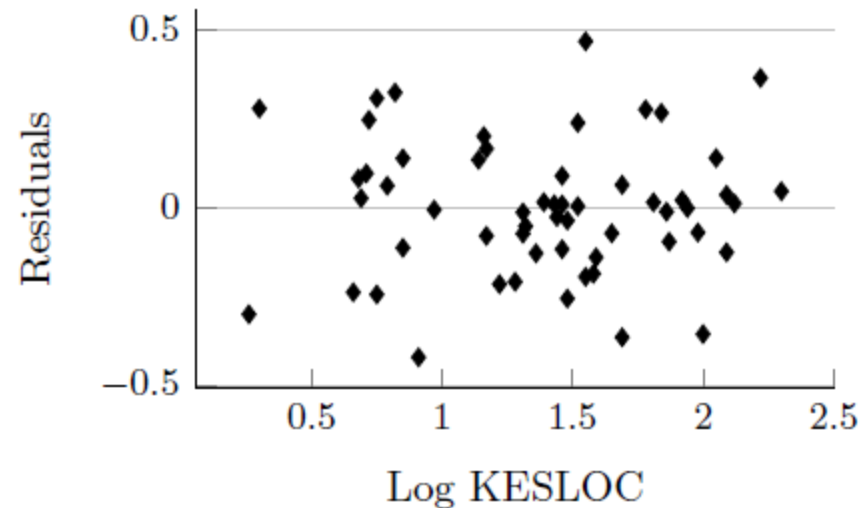
KESLOC Max = 201



$R^2 = 83\%$

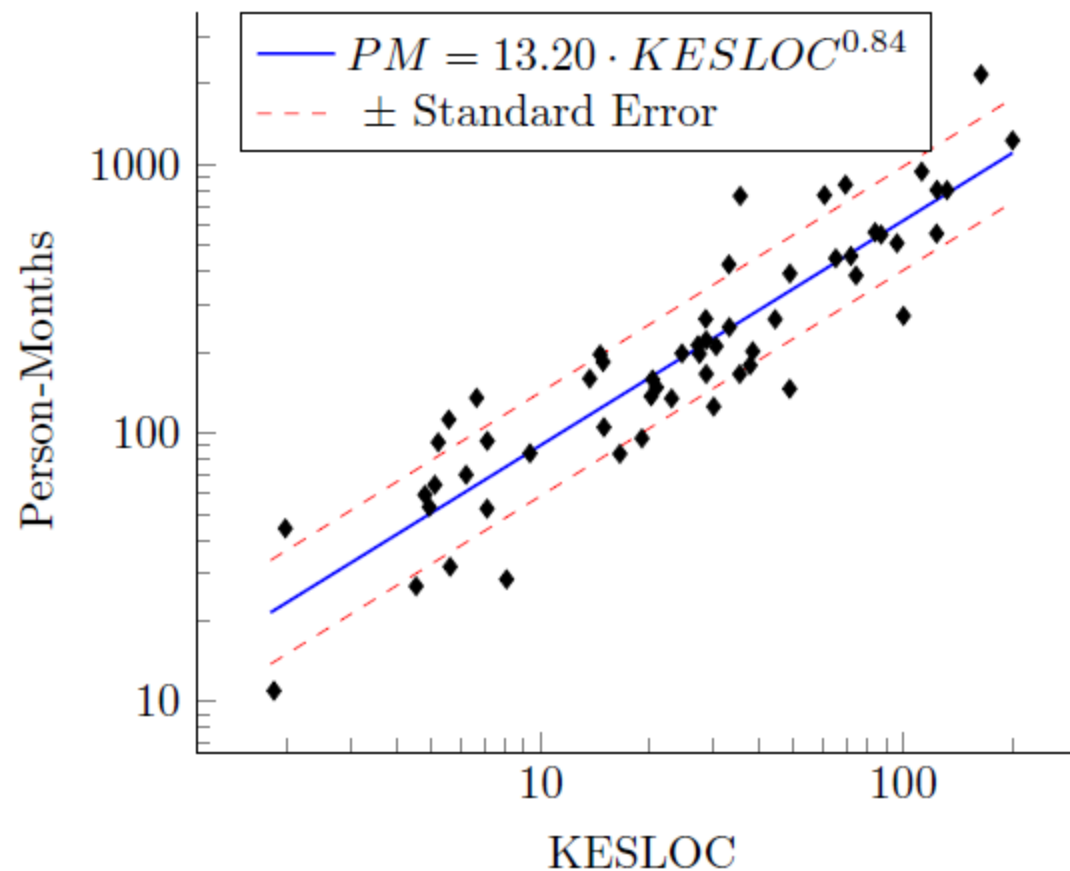
SE = 211 PM

PRED = 54%



# Example CER: Real Time Embedded (2/2)

Coefficient	Value	T-Stat	P-Value	LCI	UCI
A	13.16	14.7	1.00E-20	9.26	18.69
B	0.84	16.2	1.48E-22	0.73	0.94





# Operating Environment CERs

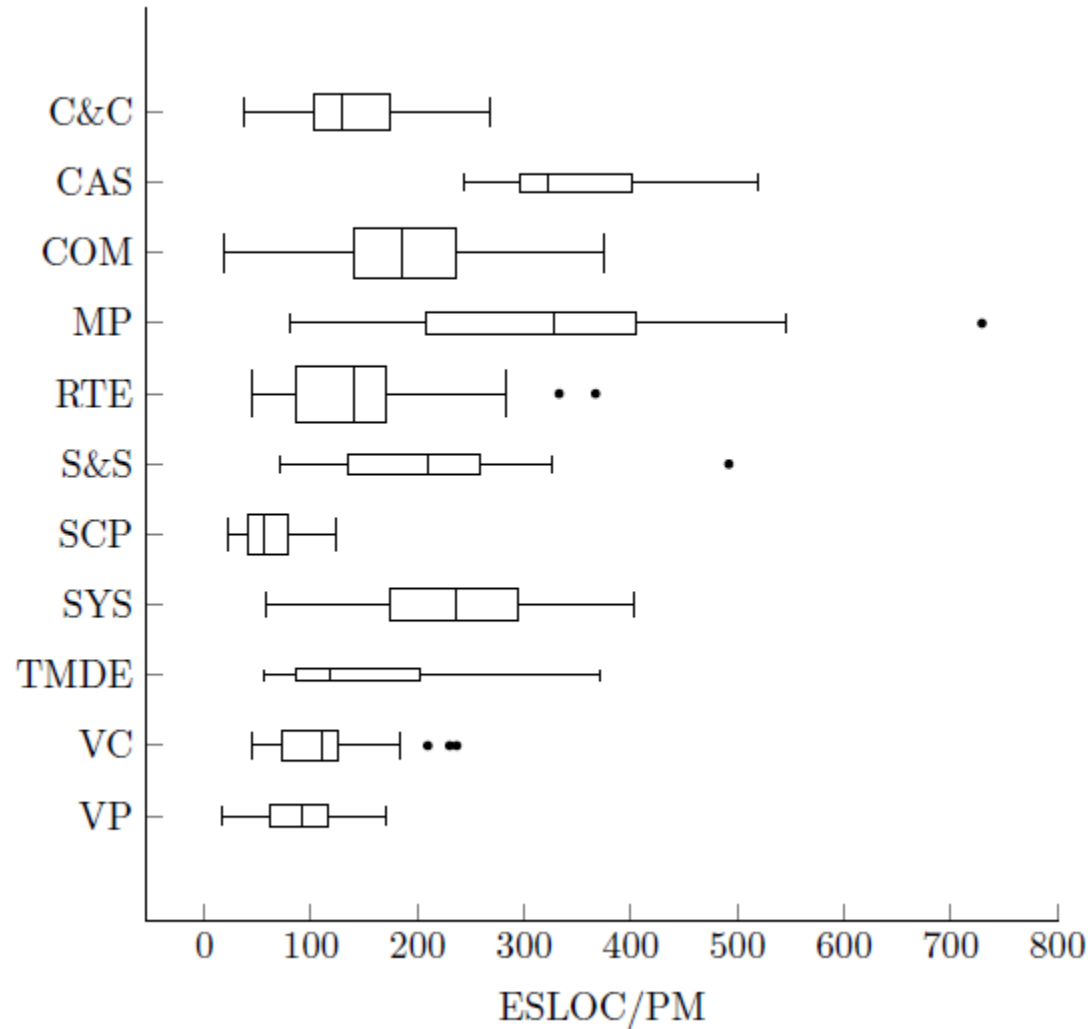
Op Env	Effort Equation	N	$R^2$	SE	PRED	KESLOC	
						Min	Max
AV	$PM = 9.41 \cdot KESLOC^{0.93}$	87	77%	516	39	0.7	329.9
GS	$PM = 12.6 \cdot KESLOC^{0.79}$	134	74%	478	56	0.8	842.1
GV	$PM = 15.1 \cdot KESLOC^{0.82}$	26	74%	178	54	7.2	283.1
MV	$PM = 5.44 \cdot KESLOC^{1.12}$	42	87%	206	33	0.4	123.8
OV	$PM = 27.45 \cdot KESLOC^{0.71}$	25	79%	11	48	0.9	221.1



# Application Type CERs

App Type	Effort Equation	N	$R^2$	SE	PRED	KESLOC	
						Min	Max
CAS	$PM = 2.64 \cdot KESLOC^{1.02}$	16	97%	135	88	2.4	417.1
COM	$PM = 7.30 \cdot KESLOC^{0.91}$	47	88%	253	60	0.4	532.4
C&C	$PM = 6.60 \cdot KESLOC^{1.05}$	33	88%	449	52	0.8	229.0
MP	$PM = 6.14 \cdot KESLOC^{0.86}$	20	77%	220	55	9.6	570.0
RTE	$PM = 13.20 \cdot KESLOC^{0.84}$	57	83%	211	54	1.8	200.6
SCP	$PM = 26.5 \cdot KESLOC^{0.87}$	36	91%	346	50	0.8	192.9
S&S	$PM = 7.43 \cdot KESLOC^{0.91}$	17	85%	176	53	4.4	225.8
SYS	$PM = 5.06 \cdot KESLOC^{0.98}$	27	93%	585	48	0.8	842.1
TMDE	$PM = 7.42 \cdot KESLOC^{1.00}$	11	92%	454	27	0.5	313.0
VC	$PM = 9.05 \cdot KESLOC^{1.02}$	27	92%	303	48	0.7	329.9
VP	$PM = 22.27 \cdot KESLOC^{0.81}$	18	89%	111	56	1.1	221.1

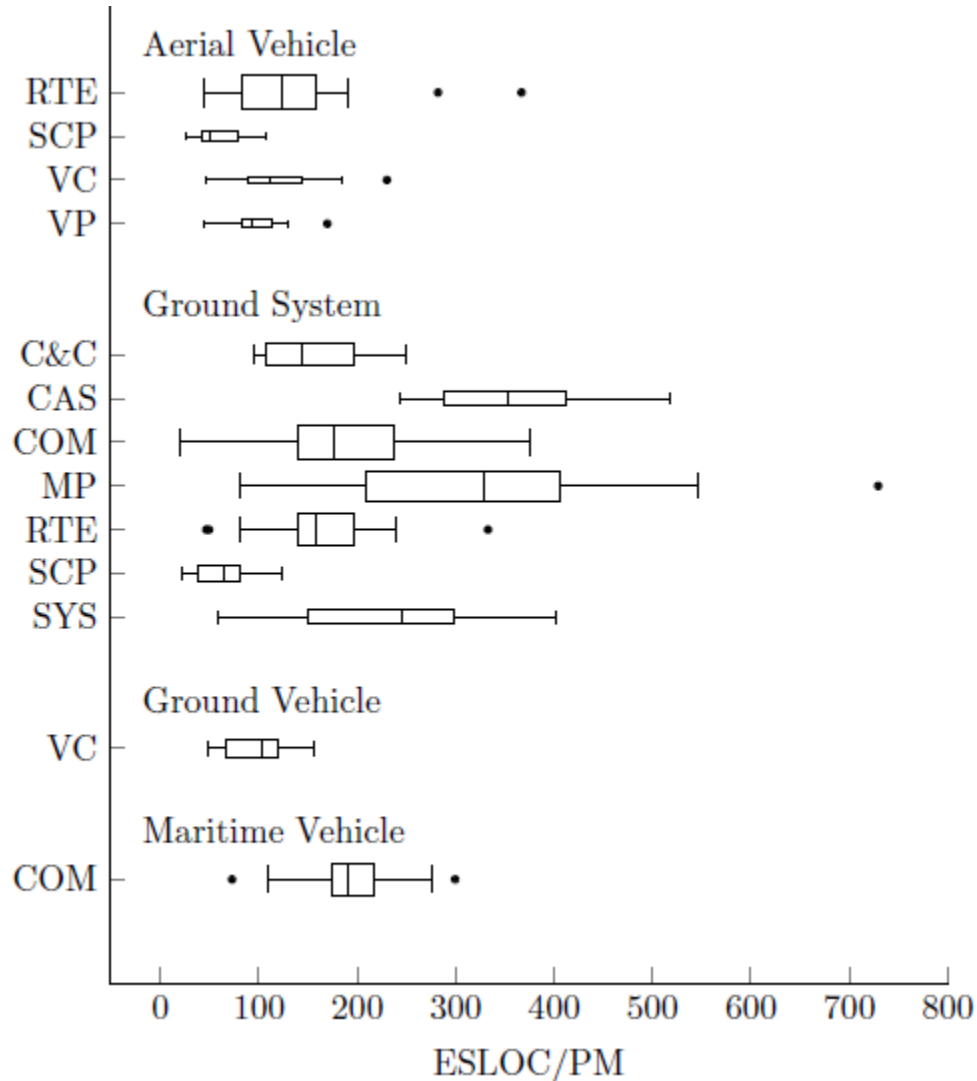
# Application Type Productivity Benchmarks







# Application Type and Operating Environment Productivity Benchmarks



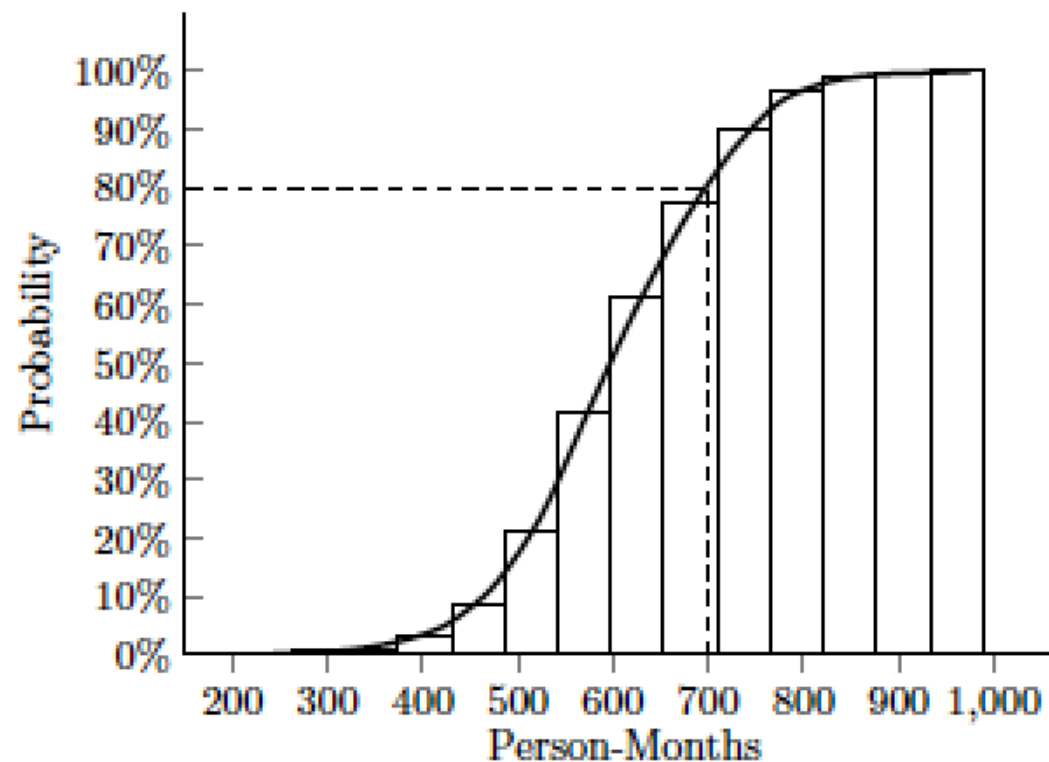


## Ch-7. Estimation Process (Based on GAO)

- Overview
- Estimation Purpose
- Program Definition
- Estimation Scope
- Data Collection and Normalization
- Estimate Creation
- Sensitivity Analysis
- Risk and Uncertainty Analysis
- Estimate Documentation and Packaging

# Risk and Uncertainty Analysis

## *Estimation Process*



**FIGURE 7.7: RTE Effort Cumulative Distribution Function**



# Future Work

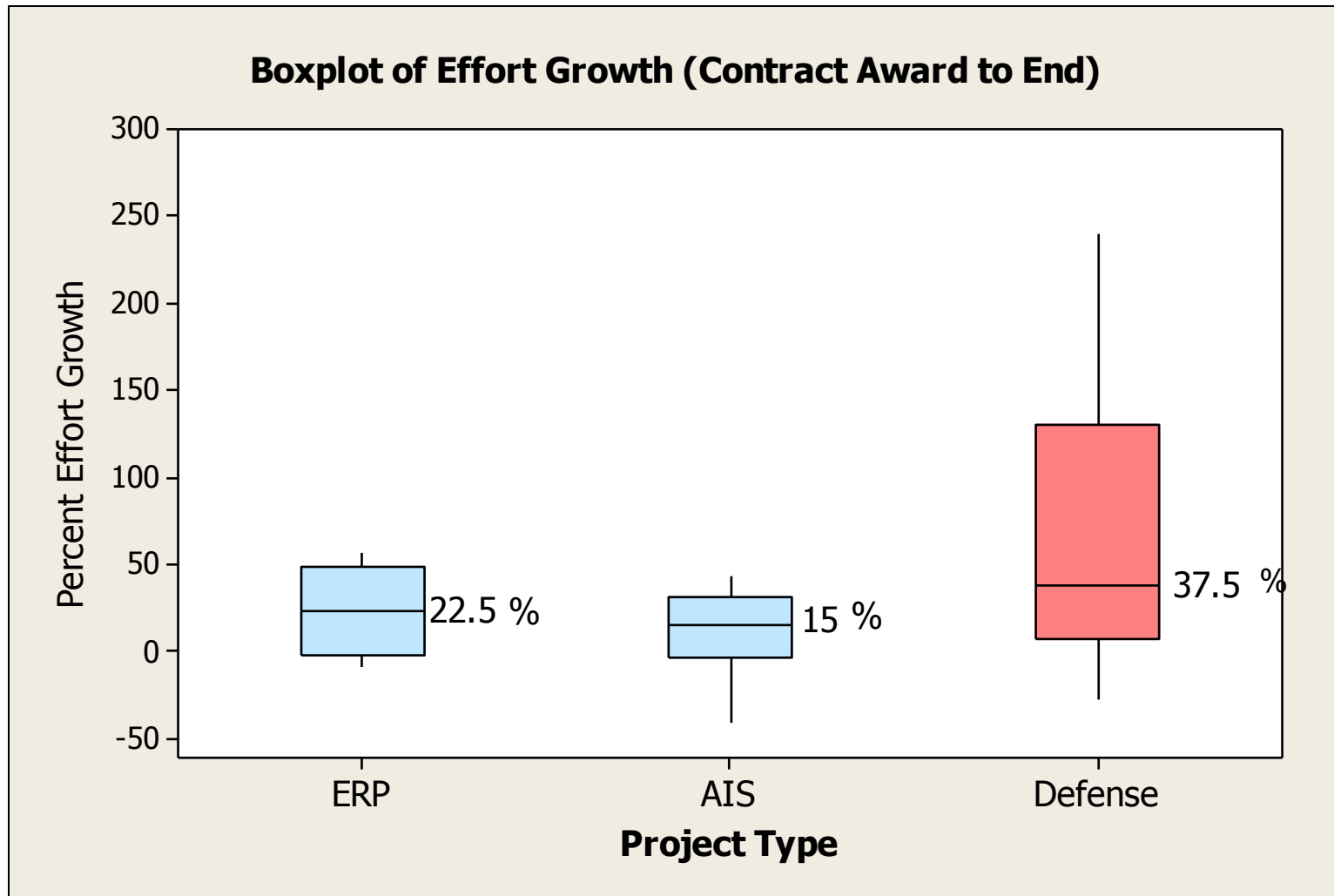
- More SRDR data is collected each year. The SRDR data requirements are periodically revised to collect more and higher quality data.
  - The intent is to keep this manual relevant with future editions incorporating new information from contributors.
- Much future work is identified in the current manual.
- This manual is hosted and maintained at <http://softwarecost.org>
  - Readers will find errata, updates to its content, and a place to submit suggestions and comments.



# Future Work

- The intent is to keep this manual relevant for assisting analysts and decision makers with early software cost estimates and commitments
- Future editions will incorporate new information from contributors (next slides)
- Much future work is identified in the current manual.
- This manual is hosted and maintained at <http://softwarecost.org>
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# Effort Growth Contract Award to End



# Effort Model Based on Requirements

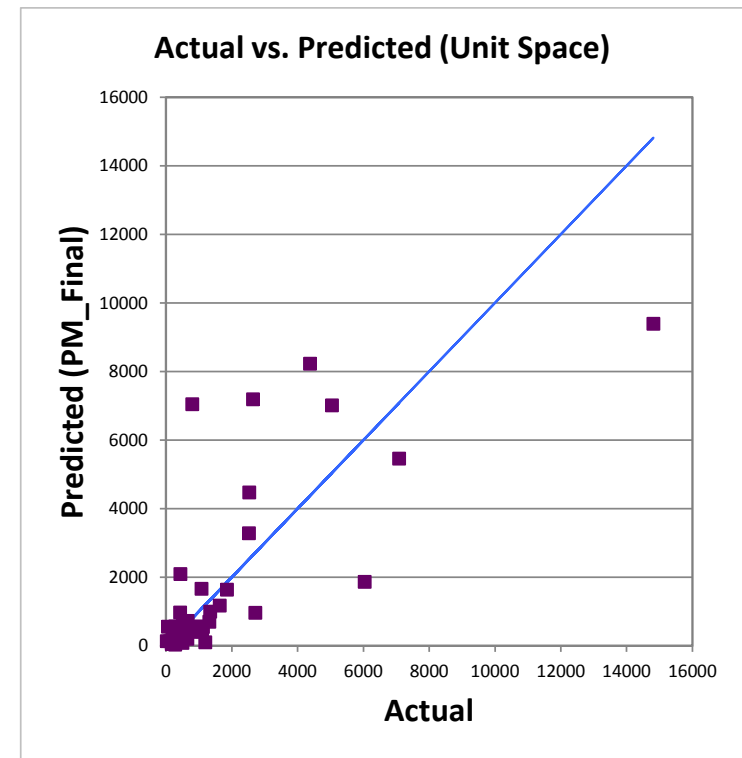
Equation:

Model Form	N	R <sup>2</sup>	CV	Mean	F-stat	REQ Min	REQ Max
PM = aREQ <sup>0.9679</sup>	40	97	63	1718	1659	35	12716

Where:

PM = Actual effort (in Person Months)  
aREQ = Actual total requirements

Variable	Coeff	T stat	P value
aREQ	0.9679	40.7	0.0000



# Schedule Model Based on Actual Effort

Equation:

Model Form	N	R <sup>2</sup>	CV	Mean	F-stat	PM Min	PM Max
TDEV = $aPM^{0.5051}$	40	95	48	38	887	27	14819

Where:

TDEV = Actual Duration in Months  
 $aPM$  = Actual Effort (in Person Months)

Variable	Coeff	T stat	P value
$aPM$	0.529	26.14	0.0000

